

## Executive Summary

### AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

#### 1. Introduction

The County of Lexington has been a participant in the Community Development Block Grant (CDBG) Program since July 1, 2000. On July 1, 2008, the County became a Participating Jurisdiction (PJ) in the HOME Investment Partnerships (HOME) Program. Effective July 1, 2018 Lexington County will become a participant in the Emergency Solutions Grant (ESG) Program. These programs are sponsored by the United States Department of Housing and Urban Development (HUD) through an annual federal budget allocation.

#### 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

In accordance with HUD guidelines, the Annual Action Plan is developed each year to identify specific activities that address priorities and goals established in the Five-Year Consolidated Plan.

In developing the Annual Action Plan, the County reviews its Consolidated Plan, seeks public input, and conducts both formal and informal needs assessments to determine whether the County's Consolidated Plan needs to address additional priorities through the County's CDBG and HOME Programs.

The Consolidated Plan outlines the following goals for the County's HUD Entitlement Grant Programs:

- Priority Goal 1: Owner occupied housing rehabilitation.
- Priority Goal 2: Provide and/or support adequate, safe, and affordable housing through Homebuyer Assistance Program.
- Priority Goal 3: Housing for Non-Homeless Special Population.
- Priority Goal 4: Public Facilities / Infrastructure Development.
- Priority Goal 5: Education / Promotion of Fair Housing practices.
- Priority Goal 6: Lead-Based Paint Reduction
- Priority Goal 7: Establish or support programs that provide needed public services and /or increase the level of service provided by existing programs.

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

As part of the consolidated planning process Lexington County staff members, as well as its municipal partners, service providers and stakeholders completed an extensive analysis of the County of Lexington's existing CDBG and HOME services, programs and projects. To that end, and as the following Consolidated Plan will lay-out, the highest priority needs continue to be the development of new affordable housing units, the maintenance and rehabilitation of existing affordable housing units and providing adequate housing and public service opportunities for the County of Lexington's homeless and vulnerable (non-homeless) populations. The County of Lexington will aggressively support its two highly successful owner-occupied housing rehabilitation programs throughout the incorporated and unincorporated communities of our County; these are the minor home repair and the comprehensive housing repair programs. Additionally, the County and its municipal partners will continue to proactively improve public facilities and public infrastructure in the underserved census tracts and block groups of the community, which will in turn help to foster safe and decent living environments for our residents.

### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

Throughout the year, the County provides opportunities for citizen participation, which supports in the development of the Annual Action Plan. The following meetings were open to the public and included discussions of the County's housing and community development needs and activities to be undertaken as described in the Annual Action Plan.

- 8/31/2017 Consolidated Annual Performance and Evaluation Report (CAPER) and Annual Needs Assessment Public Hearing
- 1/11/2018 Grant Programs Division Advisory Committee Meeting
- 2/8/2018 Public Notice Placed in *The Chronicle*
- 3/9/2018 Draft 2016 Annual Action Plan Public Hearing
- 3/13/2018 Lexington County Council Administration Committee Meeting
- 3/27/2018 Lexington County Council Approval
- 6/7/2018 Public Noticed placed in The Chronicle for amendment- ESG
- 7/11/2018 Con Plan Action Plan Amendment Public Hearing
- 7/24/2018 Lexington County Council Administration Committee Meeting

A public notice and notice of a public hearing for the draft 2018 Annual Action Plan was published in *The Lexington County Chronicle* and the draft Plan was placed on the County's website on February 8, 2018. A public hearing was held on March 9, 2018 at the County Administration Building in Lexington, South Carolina. Additionally, a 30-day comment period was open from February 8, 2018 to March 9, 2018. No comments were received. As the County will be receiving the Emergency Solutions Grant (ESG) for PY18, an additional Public Notice, Notice of Public Hearing and 30-day comment period was placed in *The Chronicle* on June 7, notifying County residents of the amendments to the Con Plan and PY 18 Annual Action Plan to include the Emergency Solutions Grant. No comments were received.

**5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

No comments were received

**6. Summary of comments or views not accepted and the reasons for not accepting them**

No comments were received

**7. Summary**

The County of Lexington's Office of Community Development Grants Program Division is the lead agency responsible for the preparation of the Consolidated Plan. As an Urban Entitlement County, the County of Lexington administers the Community Development Block Grant (CDBG) program and the Home Improvement Partnership Program (HOME).

The County has Intergovernmental Agreements with the municipalities of Batesburg-Leesville, Chapin, Gaston, Gilbert, Irmo, Lexington, Pelion, Pine Ridge, South Congaree, Springdale, Summit, Swansea, as well as with the cities of Cayce and West Columbia. The County receives and administers CDBG and HOME funds on their behalf.

**PR-05 Lead & Responsible Agencies – 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

<b>Agency Role</b>	<b>Name</b>	<b>Department/Agency</b>
CDBG Administrator	LEXINGTON COUNTY	Community Development
HOME Administrator	LEXINGTON COUNTY	Community Development
ESG Administrator	LEXINGTON COUNTY	Community Development

**Table 1 – Responsible Agencies**

Lexington County's Community Development Department administers the Community Development Block Grant (CDBG) Program, the HOME Investment Partnership Program and the Emergency Solutions Grant (ESG) Program for Lexington County and is the lead entity responsible for overseeing the county's Consolidated Plan. However, preparation and development of the plan require input from numerous individuals, municipalities, agencies and organizations throughout the county.

**Consolidated Plan Public Contact Information**

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## **AP-10 Consultation – 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

The County of Lexington is engaged in ongoing efforts to increase coordination among a complex network of public, private, and non-profit organizations that deliver housing and social services in our community. As the administrators of the County of Lexington's CDBG, HOME and ESG programs, the County's Office of Community Development, Grant Programs Division acts as a nucleus for community and economic development in the County. What's more, the Grant Programs Division maintains positive and pro-active relationships with many of the County's local governments, non-profit, faith-based and social service agencies.

#### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))**

In addition to maintaining an open door policy and having ongoing daily communication with the area's many service providers, specific steps the County has taken to enhance coordination include: entering into intergovernmental agreements with its fourteen (14) municipalities to include the communities of Batesburg-Leesville, Chapin, Gaston, Gilbert, Irmo, Lexington, Pelion, Pine Ridge, South Congaree, Springdale, Summit, Swansea, as well as the cities of Cayce and West Columbia. The Office of Community Development maintains close relationships with the City of Columbia, which administers the Housing Opportunities for Persons with Aids (HOPWA) Program on behalf of the County, as well as other nearby communities. The County also works closely with the Central Midlands Council of Governments, the Cities of West Columbia and Cayce, Lexington Medical Center and the Town of Springdale on transportation issues.

#### **Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The County of Lexington's Office of Community Development is an active supporter of local Continuum of Care (CoC) services and is a strong supporter and member of the Midlands Area Consortium for the Homeless (MACH). Moreover, the County has provided general fund and CDBG resources to the Transitions homeless facility in the City of Columbia, where it is estimated that the facility provides housing transitional services and counseling to some 200 Lexington County residents annually. Each year the County allocates hundreds of thousands of dollars through CDBG and HOME funds for the

acquisition and rehabilitation and rental of affordable housing (in an effort to increase affordable rental housing units to help aid in the prevention of homelessness). The County is a supporter of Lexington Interfaith Community Services, an agency supported by Lexington's faith based community and an organization that provides food stability programs, rental and utility assistance to Lexington's low-and-

moderate income residents. Additionally, the County uses its resources to consistently provide infrastructure and public service(s) to support to Sistercare’s transitional shelter for battered women and their children as a strategy for combating homelessness in our community.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

United Way of the Midlands (UWM), serves as lead HUD agency for the Midlands Area Consortium for the Homeless (MACH) that is the HUD designated Homeless Continuum of Care (SC-502) for Lexington County. As a team, UWM/MACH are committed to ending homelessness in the Midlands. MACH member agencies and other partners provide the direct services, shelter and housing that assist our community’s homeless families, individuals, youth and veterans to stability. The complexity of the issue, diversity of the populations and shifting resources and priorities of the community and federal government make coordination key to community success. UWM/MACH works to ensure quality services, continuous planning, and coordination for efficiency and cost effectiveness and collaborate with Lexington County with these efforts. Lexington County participates in MACH meetings, the annual point-in-time count, and an annual consultation on ESG program certification including developing ESG performance measures.

UWM also serves as the MACH lead agency for the Homeless Management Information System (HMIS). HMIS data is used to collect and report on ESG program results. UWM will support Lexington County’s ESG implementation with reporting on agency HMIS data.

MACH collaborates with the other South Carolina CoCs and the South Carolina Office of Economic Opportunity (OEO) to establish statewide ESG performance measures, HMIS data collection standards, and standards for agency training and data quality. MACH considers agency capacity to administer funding and successful programs and collaboration with MACH key to community success in addressing homelessness and these factors are prioritized above individual funding categories within ESG.

MACH provides ESG certification based on the following factors:

Participation the Coordinated Entry System – including serving as a MACH Access Point (MAP)

Participation in HMIS (except providers serving domestic violence survivors)

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**



**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	TOWN OF LEXINGTON
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The County maintains a close working relationship with the Town of Lexington. In addition to entering into an Intergovernmental Funding Agreement with the Town for CDBG and HOME funds, the County regularly meets with grant managers and administrative officials to gain input on how certain projects fit into the Town's overall housing and economic development goals, as well as to gain insight into overall area wide planning and strategy.
2	<b>Agency/Group/Organization</b>	City of West Columbia
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The County maintains a close working relationship with the City of West Columbia. In addition to entering into an Intergovernmental Funding Agreement with the Town for CDBG and HOME funds, the County regularly meets with grant managers and administrative officials to gain input on how certain projects fit into the City's overall housing and economic development goals, as well as to gain insight into overall area wide planning and strategy.
3	<b>Agency/Group/Organization</b>	Housing Authority of Cayce
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Cayce Housing Authority is the only public housing authority in the County and traditionally assists nearly 100 residents in five different housing communities. The outcome of this consultation area includes better coordination and perhaps an increase in cooperation and a sharing of resources.
4	<b>Agency/Group/Organization</b>	SISTERCARE, INC.
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Anti-poverty Strategy

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Lexington consulted with Sistercare, Inc., the community's premiere agency providing services to battered spouses and children to include long-term sheltering, counseling, and job training. The outcome of this consultation included the need for further assistance to Spanish speaking populations, a community which ranked domestic violence as among the highest public service priorities in the community.
5	<b>Agency/Group/Organization</b>	Irmo Chapin Recreation Commission
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Market Analysis Economic Development
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Irmo Chapin Recreation Commission was consulted as a way to assist the County of Lexington in assessing the needs of children, adults, the elderly and persons with disabilities in the community. The Irmo Chapin Recreation Commission is offering groundbreaking programming for adults, seniors, and youth with disabilities and the outcome of the consultation, included the County of Lexington working to implement and support programs for persons with autism and severe disabilities in our community.
6	<b>Agency/Group/Organization</b>	Community Assistance Provider
	<b>Agency/Group/Organization Type</b>	Services - Housing Service-Fair Housing

<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis Anti-poverty Strategy Lead-based Paint Strategy
<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Lexington staff consulted with its local CHDO, Community Assistance Providers in an effort to gain a better understanding of the County's housing needs assessment, non-homeless special needs, and market analysis to name a few. The outcome is that the staff gain a wider breadth of the overall housing needs of our low-and-moderate income residents living in our incorporated and unincorporated communities.

**Identify any Agency Types not consulted and provide rationale for not consulting.**

None

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	United Way of the Midlands	The goals of the annual action plan, as developed in coordination with the local Continuum of Care, will enhance and strengthen programs directly benefitting homeless and those at risk of homelessness.

**Table 3 – Other local / regional / federal planning efforts**

**Narrative (optional)**

**AP-12 Participation – 91.105, 91.200(c)**

**1. Summary of citizen participation process/Efforts made to broaden citizen participation  
Summarize citizen participation process and how it impacted goal-setting**

As the administrator(s) of the County of Lexington’s CDBG, HOME and ESG programs, the Office of Community Development acts as a hub for community, economic and social engagement for our community, a role and public trust that we take very seriously. To this end, our staff has worked diligently to maintain and foster open lines of communication between the County and our community’s many non-profit and social service agencies. Besides an open door policy, each year our grant staff participate in numerous outreach meetings and hosts housing roundtables and meetings for subrecipients, contractors, and citizens interested in learning more about our grant programs.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Newspaper Ad	Non-targeted/broad community	Public Notice Placed in The Chronicle	No comments received	None	
2	Public Hearing	Non-targeted/broad community	3 citizens attended public hearing	None received	None	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Internet Outreach	Persons with disabilities	Draft of PY18 Annual Action Plan placed on County website	No comments received	None	<a href="http://www.lex-co.sc.gov/departments/DeptAH/communitydevelopment/Pages/PlansandReports.aspx">www.lex-co.sc.gov/departments/DeptAH/communitydevelopment/Pages/PlansandReports.aspx</a>

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

CDBG, HOME and ESG are the three formula entitlement grant funds that the County of Lexington receives directly from HUD. The projects listed will be funded through the County 2018 - 2019 CDBG Allocation of \$1,792,871, HOME allocation of \$711,436 and ESG \$143,711.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,792,871	0	0	1,792,871	798,467	The Disaster Relief Appropriations Act of 2016 (Pub. L. 114-113, approved December 18, 2015) was enacted to appropriate federal funds for disaster relief. To this end, the Act appropriated \$16,332,000 in Community Development Block Grant Disaster Recovery (CDBG-DR) funds to be made available to Lexington County as a result of the 2015 floods.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	711,436	0	0	711,436	145,135	



Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	143,711	0	0	143,711	143,711	Lexington County to receive Emergency Solutions Grants ESG for the first time in PY 2018

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

In an effort to strengthen and increase the utilization of CDBG funds and to leverage additional funding, the County of Lexington requires that all CDBG sub-recipients provide a 10% cash match for the funding awarded. Match funds must be identified on the application and subrecipients are required to provide documentation of their match funds in the monthly reporting and /or with reimbursement requests. All subrecipients are monitored annually by County staff and provided technical assistance where needed.



**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

The County's Administration Building acts as the hub for administering the CDBG, HOME and ESG programs as well as a meeting place for public hearings and meetings to discuss plan goals.

**Discussion**

Community facilities and services are available to all County residents in the quest to meet the day-to-day needs of the Lexington community and to enhance quality the overall quality of life. Community Facilities are divided into several categories: general government facilities; educational facilities; libraries, and park and recreation facilities. The Central Midlands Regional Transit Authority is a provider of fixed route bus service and demand-responsive Para-transit service for the more urbanized communities of Lexington. The CMRTA offers fares and monthly passes at a discount to college students, senior citizens, and customers with physical and mental disabilities. Service animals are permitted to accompany individuals with disabilities on all vehicles.

**DISASTER RECOVERY:** The Disaster Relief Appropriations Act of 2016 (Pub. L. 114-113, approved December 18, 2015) was enacted to appropriate federal funds for disaster relief. To this end, the Act appropriated \$16,332,000 and an additional \$5,038,000 for a total of \$21,370,000 in Community Development Block Grant Disaster Recovery (CDBG-DR) funds to be made available to Lexington County as a result of the 2015 floods.

Lexington County **ESTIMATES** the following funds will be spent in Program Year 2018 on the activities listed:

- Buyout Program : \$750,000
- Home Repair : \$245,000
- Administration / Planning : \$150,000

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Owner Occupied Housing Rehabilitation	2015	2019	Affordable Housing Non-Homeless Special Needs		Lead Based Paint Hazard Reduction Substandard Owner Occupied Housing	CDBG: \$68,549 HOME: \$75,000	Homeowner Housing Rehabilitated: 9 Household Housing Unit
2	Homebuyer Assistance Program	2015	2019	Affordable Housing		Homebuyer Assistance Program	HOME: \$80,000	Direct Financial Assistance to Homebuyers: 10 Households Assisted
3	Public Facilities/Infrastructure Development	2015	2019	Non-Housing Community Development		Public Facilities and Infrastructure Development	CDBG: \$1,125,859	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 3503 Persons Assisted
4	Public Services	2015	2019	Non-Homeless Special Needs		Public Services	CDBG: \$50,000	Public service activities other than Low/Moderate Income Housing Benefit: 403 Persons Assisted Homeless Person Overnight Shelter: 115 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Action on Homeless	2018	2019	Homeless		Action on Homeless	ESG: \$143,711	Homeless Person Overnight Shelter: 200 Persons Assisted Overnight/Emergency Shelter/Transitional Housing Beds added: 200 Beds Homelessness Prevention: 75 Persons Assisted

Table 6 – Goals Summary

### Goal Descriptions

1	<b>Goal Name</b>	Owner Occupied Housing Rehabilitation
	<b>Goal Description</b>	
2	<b>Goal Name</b>	Homebuyer Assistance Program
	<b>Goal Description</b>	The Downpayment Assistance Program will provide down-payment assistance and /or closing costs assistance to low-and-moderate-income (LMI) first time homebuyers. The amount of assistance will typically not exceed \$5,000 per single family dwelling unit.
3	<b>Goal Name</b>	Public Facilities/Infrastructure Development
	<b>Goal Description</b>	Ensure adequate and safe infrastructure to meet basic needs of county residents.

4	<b>Goal Name</b>	Public Services
	<b>Goal Description</b>	This program will include support for public services related to youth services, employment training, and programs for the homeless, battered spouses and children, and persons with disabilities and meet a myriad of needs for the County's low-and-moderate income populations.
5	<b>Goal Name</b>	Action on Homeless
	<b>Goal Description</b>	The <b>Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act)</b> amended the McKinney-Vento Homeless Assistance Act, revising the Emergency Shelter Grants Program in significant ways and renaming it the Emergency Solutions Grants (ESG) program. This grant provides funding to agencies that respond to the immediate need of at-risk and homeless populations. The County of Lexington became a participating jurisdiction of the Emergency solutions Grant (ESG) Program on July 1, 2018

# Projects

## AP-35 Projects – 91.220(d)

### Introduction

This program will support the planning and development of adequate public facilities and improvements, including but not limited to, streets, sidewalks, water, sewer, parks, playgrounds, and facilities for persons with special needs such as the homeless.

### Projects

#	Project Name
1	Infrastructure and Public Facilities
2	Public Services
3	Planning and Administration
4	Acquisition / Affordable Housing
5	Comprehensive Housing Rehabilitation Program
6	Homebuyer Assistance Program
7	HOME Program Administration
8	Community Housing Development Organization
9	Action on Homeless
10	Affordable Housing & Neighborhood Revitalization

Table 7 - Project Information

### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

During the needs assessment process the Grants Program Division was able to identify a myriad of infrastructure needs in Lexington's low-and-moderate income areas. The needs ranged from water and sewer lines to sidewalks and streets and public facilities, to include community and youth centers, job training programs and diabetic food pantries.

**AP-38 Project Summary**  
**Project Summary Information**



<b>1</b>	<b>Project Name</b>	Infrastructure and Public Facilities
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Facilities/Infrastructure Development
	<b>Needs Addressed</b>	Public Facilities and Infrastructure Development
	<b>Funding</b>	CDBG: \$1,125,859
	<b>Description</b>	This program will support the planning and development of adequate public facilities and improvements, including but not limited to, streets, sidewalks, water, sewer, parks, playgrounds, and facilities for persons with special needs such as the homeless.
	<b>Target Date</b>	6/30/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	<ul style="list-style-type: none"> <li>1. Babcock Center to benefit approximately 85 LMC residents</li> <li>1. Brookland Center- to benefit approximately 350 LMI residents many senior citizens</li> <li>1. City of West Columbia to benefit approximately 3,835 of which 2,608 are LMI residents</li> <li>1. Joint Municipal Water &amp; Sewer to approximately 260 LMI current residents and future residents</li> <li>1. Sistercare Inc. to benefit approximately 200 LMC residents(abused women and children)</li> </ul>
	<b>Location Description</b>	<p>Babcock Center – 2744-A Emmanuel Church Road, West Columbia</p> <p>Brookland Center for Community Economic Change- 1218 Batchelor Street, West Columbia SC</p> <p>City of West Columbia- parts of Leaphart Street, Batchelor Street, Augusta Street and N. Parson Street</p> <p>Joint Municipal Water &amp; Sewer Commission- Lydia Drive along Pine Street, Pelion SC</p> <p>Sistercare, Inc. – confidential location within Lexington County</p>

	<b>Planned Activities</b>	<p>Babcock Center to provide fire system upgrades to Work Activity Center</p> <p>Brookland Center- final phase of roof replacement at the BLEC Center</p> <p>City of West Columbia to use funds to rehabilitate portions of the wastewater collection system in project area.</p> <p>Joint Municipal Water &amp; Sewer to extend approximately 2,800 LF of Gravity Sewer along Pine Street to provide public sewer service to local residents and businesses in the area</p> <p>Sistercare Inc. to replace security fencing and storage building at Lexington I shelter</p>
2	<b>Project Name</b>	Public Services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Services
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$263,220
	<b>Description</b>	The primary objective of the CDBG Program is to develop sustainable communities for persons of low- and moderate-income (LMI), thereby improving the housing, quality of life, and economic conditions of the Lexington community. HUD defines LMI as household income that is less than 80% of area median income.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	<p>Approximately 2,713 Lexington county residents will benefit from the seven Public Service activities. Of the 2,713 residents:</p> <ul style="list-style-type: none"> <li>• 203 of them are children (128 of which qualify as special needs)</li> <li>• 330 are homeless</li> <li>• 20 will assist disabled adults</li> </ul> <p>2,160 will assist residents with diabetes</p>
<b>Location Description</b>	All activities will take place within Lexington County.	

	<b>Planned Activities</b>	Public Service activities include: Afterschool care for children with special needs, afterschool care for LMI students, three diabetic food pantries, afterschool scholarships for 35 LMI Students throughout Lexington County, job training for residents with intellectual and developmental disabilities and operational support for a homeless shelter.
<b>3</b>	<b>Project Name</b>	Planning and Administration
	<b>Target Area</b>	
	<b>Goals Supported</b>	Owner Occupied Housing Rehabilitation Homebuyer Assistance Program Public Facilities/Infrastructure Development Public Services Action on Homeless
	<b>Needs Addressed</b>	Substandard Owner Occupied Housing Public Services Homebuyer Assistance Program Public Facilities and Infrastructure Development Education/Promotion of Fair Housing Practices Lead Based Paint Hazard Reduction Housing for Non-Homeless Special Population Action on Homeless
	<b>Funding</b>	CDBG: \$335,243
	<b>Description</b>	Funds used for the administration of the CDBG Program
	<b>Target Date</b>	6/29/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Funds used for the administration of the CDBG Program
	<b>Location Description</b>	Funds used for the administration of the CDBG Program
	<b>Planned Activities</b>	
<b>4</b>	<b>Project Name</b>	Acquisition / Affordable Housing
	<b>Target Area</b>	
	<b>Goals Supported</b>	Owner Occupied Housing Rehabilitation
	<b>Needs Addressed</b>	Substandard Owner Occupied Housing Lead Based Paint Hazard Reduction

	<b>Funding</b>	HOME: \$378,578
	<b>Description</b>	This activity will continue an existing County program to acquire and rehabilitate affordable housing for either homeownership or rental activities. Funds may be used for non-profit developers, for-profit developers, and in conjunction with the Community Housing Development Organization (CHDO).
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 3 LMI families will benefit from this activity.
	<b>Location Description</b>	Within Lexington County.
	<b>Planned Activities</b>	This activity will continue an existing County program to acquire and rehabilitate affordable housing for either homeownership or rental activities. Funds may be used for non-profit developers, for-profit developers, and in conjunction with the Community Housing Development Organization (CHDO).
5	<b>Project Name</b>	Comprehensive Housing Rehabilitation Program
	<b>Target Area</b>	
	<b>Goals Supported</b>	Owner Occupied Housing Rehabilitation
	<b>Needs Addressed</b>	Substandard Owner Occupied Housing Lead Based Paint Hazard Reduction
	<b>Funding</b>	HOME: \$75,000
	<b>Description</b>	The Comprehensive Housing Rehabilitation Program assists low- and moderate-income families, disabled, elderly, and veteran homeowners with qualifying repairs to their primary residence. Funds will be used to assist approximately 5 homeowners with significant repair needs. Program guidelines have been structured to allow maximum repair amounts for each home typically not to exceed \$25,000, with limited assistance above \$25,000 in certain circumstances.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 3 LMI families will benefit from this on-going program.
	<b>Location Description</b>	Within Lexington County

	<b>Planned Activities</b>	
<b>6</b>	<b>Project Name</b>	Homebuyer Assistance Program
	<b>Target Area</b>	
	<b>Goals Supported</b>	Homebuyer Assistance Program
	<b>Needs Addressed</b>	Homebuyer Assistance Program
	<b>Funding</b>	HOME: \$80,000
	<b>Description</b>	The Homeownership Assistance Program will provide down-payment assistance and/or closing cost assistance to low- and moderate- income (LMI) first-time homebuyers. The amount of assistance provided will typically not exceed \$5,000 per single family dwelling unit. Recapture provisions will be enacted through deferred forgivable loans. Funds will be used to assist approximately 16 first-time homebuyers. The specific guidelines of the Homeownership Assistance Program have been developed by HOME Program staff.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 10 families will benefit from this on-going program.
	<b>Location Description</b>	Within Lexington County
	<b>Planned Activities</b>	
<b>7</b>	<b>Project Name</b>	HOME Program Administration
	<b>Target Area</b>	
	<b>Goals Supported</b>	Owner Occupied Housing Rehabilitation Homebuyer Assistance Program
	<b>Needs Addressed</b>	Substandard Owner Occupied Housing Homebuyer Assistance Program Lead Based Paint Hazard Reduction Housing for Non-Homeless Special Population
	<b>Funding</b>	HOME: \$71,143
	<b>Description</b>	Funds used to administer HOME Program
	<b>Target Date</b>	6/30/2019

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The following are estimates for families that will benefit from the HOME activities: Rehab: 3 DPA: 10 Acquisition / Affordable Housing: 3 CHDO:2
	<b>Location Description</b>	All properties to be located within Lexington County.
	<b>Planned Activities</b>	Comprehensive rehab, down payment assistance, acquisition of affordable homes and CHDO activities.
<b>8</b>	<b>Project Name</b>	Community Housing Development Organization
	<b>Target Area</b>	
	<b>Goals Supported</b>	Owner Occupied Housing Rehabilitation
	<b>Needs Addressed</b>	Housing for Non-Homeless Special Population
	<b>Funding</b>	HOME: \$106,715
	<b>Description</b>	Funding allocated for CHDOs to be used for eligible development activities that promote affordable housing activities that are owned, developed, or sponsored by CHDOs. HUD has established criteria to certify CHDOs to assist the Community Development Department in accomplishing the goals and objectives of the HOME Program.
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 2 LMI families will benefit from this program.
	<b>Location Description</b>	All homes will be located within Lexington County.
	<b>Planned Activities</b>	Funding allocated for CHDOs to be used for eligible development activities that promote affordable housing activities that are owned, developed, or sponsored by CHDOs. HUD has established criteria to certify CHDOs to assist the Community Development Department in accomplishing the goals and objectives of the HOME Program.
<b>9</b>	<b>Project Name</b>	Action on Homeless
	<b>Target Area</b>	
	<b>Goals Supported</b>	Action on Homeless
	<b>Needs Addressed</b>	Action on Homeless

	<b>Funding</b>	ESG: \$143,711
	<b>Description</b>	The Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act) amended the McKinney-Vento Homeless Assistance Act, revising the Emergency Shelter Grants Program in significant ways and renaming it the Emergency Solutions Grants (ESG) program. This grant provides funding to agencies that respond to the immediate need of at-risk and homeless populations. The County of Lexington became a participating jurisdiction of the Emergency solutions Grant (ESG) Program on July 1, 2018
	<b>Target Date</b>	6/30/2019
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Homeless Person Overnight Shelter: 200 Persons Assisted Overnight/Emergency Shelter/Transitional Housing Beds added: 200 Beds Homelessness Prevention: 75 Persons Assisted
	<b>Location Description</b>	Throughout Lexington County
	<b>Planned Activities</b>	ESG Funding to be split between United Way of the Midlands for HMIS Reporting and Sistercare, Inc. for emergency shelter and HMIS reporting
<b>10</b>	<b>Project Name</b>	Affordable Housing & Neighborhood Revitalization
	<b>Target Area</b>	
	<b>Goals Supported</b>	Owner Occupied Housing Rehabilitation
	<b>Needs Addressed</b>	Substandard Owner Occupied Housing Lead Based Paint Hazard Reduction
	<b>Funding</b>	CDBG: \$68,549
	<b>Description</b>	The Minor Home Repair Program is an on-going program that assists LMI homeowners with minor repairs to their homes.
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 6 LMI families will benefit from this on-going program.
	<b>Location Description</b>	Throughout Lexington County
	<b>Planned Activities</b>	The Minor Home Repair Program is an on-going program that assists LMI homeowners with minor repairs to their homes. Repairs generally do not exceed \$13,000.

**AP-50 Geographic Distribution – 91.220(f)**

**Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**



## Geographic Distribution

Target Area	Percentage of Funds

Table 8 - Geographic Distribution

### Rationale for the priorities for allocating investments geographically

Grant Programs Division staff is 100% confident in the geographic distribution of CDBG and HOME funds. The allocations were reviewed and analyzed by the County of Lexington's Grant Advisory Committee and projects were ranked according to need and followed a rigorous application process. Moreover, the County holds intergovernmental agreements with all fourteen (14) municipalities in the County and ensures that each of the communities has access to CDBG and HOME funds once a project has been deemed eligible. Moreover, food bank Programs, job training, as well as the County's housing program are available to low-and-moderate income residents Countywide, regardless of where one lives.

### Discussion

As part of this Consolidated Planning effort, Grant Programs Division staff has taken great pains to ensure that the County is addressing infrastructure and public facilities, public services, and housing programs countywide in order to address the needs of our community's low-and-moderate income residents. Additionally, the County operates several programs to include its CDBG and HOME funded owner-occupied housing rehabilitation programs, septic tank repair program, public services, and DPA program that are open to all low-and-moderate income persons in the County with there being no geographic segregation. The County of Lexington has several pockets of poverty.

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

During Program Year 2018, the County of Lexington along with its municipal partners and its non-profit and for profit housing developers will work diligently through the use of CDBG and HOME funds toward the development and preservation of affordable housing. We will accomplish this through these four broad categories:

- 1) Owner occupied housing repair programs (minor home and comprehensive housing repair program)
- 2) Our ongoing support of homeless prevention services and operations
- 3) The support of organizations such as habitat for humanity and our certified CHDO's
- 4) The County of Lexington's DPA (Down Payment Assistance Program)

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	0
Non-Homeless	35
Special-Needs	0
Total	35

**Table 9 - One Year Goals for Affordable Housing by Support Requirement**

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	5
The Production of New Units	0
Rehab of Existing Units	25
Acquisition of Existing Units	5
Total	35

**Table 10 - One Year Goals for Affordable Housing by Support Type**

## **Discussion**

With the allocation of ESG funds beginning with PY 2018 Lexington County will be able to assist further with homeless issues throughout the County. Street outreach, emergency shelter, homeless prevention and rapid re-housing are eligible components of this program and will serve the community well.

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

The County of Lexington works with the Cayce Housing Authority and the State Department of Housing and Finance; the Cayce Housing and the State Department of Housing and Finance offers Section 8 Housing Choice Vouchers and does not have any public housing units. The CHA has a small number of public housing units as well as Section 8 Housing Choice Vouchers. The County has recently engaged the Cayce Housing Authority in an effort to collaborate, using HOME funds in expanding the availability of public housing the community.

### **Actions planned during the next year to address the needs to public housing**

Continue to collaborate with Cayce Housing Authority for expanding the availability of public housing throughout Lexington County.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The County continues to encourage local support service providers to expand existing Consumer Credit Counseling and First Time Home-Buyer programs with funding assistance where possible. Additionally, through the use of CDBG and HOME funds the County of Lexington's Grant Programs Division will continue working with community groups to seek additional resources to build consumer credit education counseling and awareness among low income and minority residents to include local faith-based organizations, higher education institutions, libraries, and other forums for fair housing.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

Not applicable

### **Discussion**

Not applicable

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The County of Lexington is focusing on planning efforts that create various ways to address the issues of homelessness and chronic homelessness. It is difficult to accurately measure the number of persons at risk of becoming homeless. Moreover, it is impossible to gauge at any one time the number of people who are threatened with eviction, unemployment, foreclosure, or termination of utilities. Families and individuals are at-risk of becoming homeless when they no longer have any cushion against the perils of life. Most commonly, a family is at-risk when it lives paycheck-to-paycheck without any savings for sudden emergencies. The County's elderly and families are the face of the homeless in Lexington. Experts in the field suggest that if the County can somehow assist entities that addressing issues of mental illness, invest in job training, and work development programs, then our community would begin addressing issues of chronic homelessness.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.**

Service providers in the County of Lexington will work collaboratively to provide a wide range of expertise in housing, social, and supportive services within each component of the continuum. A strategy to address homelessness in the CoC is to strengthen and enhance these existing assets. In regards to goals for eliminating chronic homelessness, The County of Lexington works closely with other municipalities, community leaders, and other stakeholders to accomplish this goal. Christ Central Ministries current operates shelters for women and children, families, and veterans facing homelessness. What's more, the faith-based organization has purchased property and intends to open additional shelters for young (teenage) males, families and others within the next five years. As part of the strategic planning initiative, these organizations will include goals set out by the Federal Government's inter-agency Strategic Plan to End Homelessness called Opening Doors. These goals include: 1) Finish the job of ending chronic homelessness in 5 years; 2) Prevent and end homelessness among Veterans in 5 years; 3) Prevent and end homelessness for families, youth, and children in 10 years; 4) Set a path to ending all types of homelessness.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The County of Lexington, our non-profit organizations and municipal partners will continue to dedicate funding and support the operations of expansion of existing emergency shelters throughout the county. Funding provided to local shelters to expand service and create new programs to address multiple persons and housing needs.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Each year the County of Lexington without a doubt, through the support of its subrecipients is both directly and indirectly assisting individuals from becoming homeless once they are discharged from publicly funded institutions through our support of the job training programs with Goodwill and the Fatherhood Initiative, our collaborations with the Cayce Housing Authority, or support of training and counseling services for Sistercare, Inc, a local non-profit which advocates on behalf of battered spouses and their children and finally through our support of food stability programs which are CDGB through Lexington Interfaith Community Services (LICS) and Harvest Hope Food Bank. Moreover, working with the Central Midlands Council of Governments, area non-profits, as well as its fourteen (14) municipalities, Lexington County has targeted significant CDBG and HOME resources within the low-income areas described in this Plan. Each year the County works to leverage these resources, which in turn acts as catalyst to spur additional public and private investment in our Low and Moderate Income areas and serves to increase the quantity and quality of affordable housing, as well as help low-to-moderate income residents acquire the skills necessary to improve their employment opportunities.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Additionally, each year the County works with the Central Midlands Council of Governments, area non-profits, as well as its fourteen (14) municipalities to target significant CDBG and HOME resources within the low-income areas described in this Plan. Each year the County works to leverage these resources, which in turn acts as catalyst to spur additional public and private investment in our Low and Moderate Income areas and serves to increase the quantity and quality of affordable housing, as well as help low-to-moderate income residents acquire the skills necessary to improve their employment opportunities. For example, during Program Year 2018, the County is not only providing funding for the Transitions Facility, but we are using CDBG resources for job training, as well as to provide resources to persons with disabilities and battered women and their children. Lexington County is also working with its partners Harvest Hope Food bank to address food instability among our children and elderly residents.

## **Discussion**

As part of the strategic planning initiative, various organizations, along with Lexington County, will include goals set out by the Federal Government's inter-agency Strategic Plan to End Homelessness called Opening Doors. These goals include: 1) Finish the job of ending chronic homelessness in 5 years; 2) Prevent and end homelessness among Veterans in 5 years; 3) Prevent and end homelessness for families, youth, and children in 10 years; 4) Set a path to ending all types of homelessness.

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

The most recent Analysis of Impediments to Fair Housing identifies six areas of concern. The barriers to addressing housing needs, such as demand for both renter and owner-occupied housing units (based on the current inventory of rental units), fair market rent for these units, the current waiting lists for public housing, and the income levels of those experiencing housing problems clearly show a need for affordable rental units. Additionally, the disproportionate level of low wages as compared to high cost of available rental units is another barrier for LMI individuals.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The County of Lexington's Grant Programs Division through its housing acquisition and rehabilitation programs through CDBG and HOME has addressed some of the issues of affordability by increasing the availability of affordable single family homes in the County's rental inventory through its partnerships with community CHDO's and developers. Additionally, the County is working with Habitat for Humanity, the faith based community and the Cayce Housing Authority in an effort to identify strategies for collaborative funding opportunities through the use of CDBG, HOME, tax credits, etc.

### **Discussion:**

The County has collaborated with the Urban League of Greater Columbia, the Community Relations Council, as well as the Central Midlands Council of Governments, and USDA-Rural to perform comprehensive outreach and education activities throughout the County of Lexington. The Grant Programs Division will continue to aggressively seek partners that will encourage the development of strategies for affordable housing in the County of Lexington. Over the next four years the County of Lexington anticipates expending approximately \$50,000 on fair housing programs and services.

The County Grants staff has started monthly outreach meetings at local libraries to educate residents on Fair Housing and the rules, regulations and rights. The County also continues to collaborate with other local government entities with various Fair Housing outreach programs/ events.



## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

The County continues to encourage local support service providers to expand existing Consumer Credit Counseling and First Time Home-Buyer programs with funding assistance where possible. Additionally, through the use of CDBG and HOME funds the County of Lexington's Grant Programs Division will continue working with community groups to seek additional resources to build consumer credit education counseling and awareness among low income and minority residents to include local faith-based organizations, higher education institutions, libraries, and other forums for fair housing

### **Actions planned to address obstacles to meeting underserved needs**

Grant Programs Division staff intend to engage the non-profit, faith based, state service providers and social agencies in an effort to further identify the resources available to address the housing, child care, mental health services, and economic disparities that exist in the County. Through the Consolidated Plan consultation process, County staff have been able to identify organizations that are providing services in the community. It is important that the County serve as a catalyst to promote collaboration amongst the various organizations. To this end, in 2016 the Grant Programs Division staff intends to host a service provider meeting that will engage these organizations in an effort to better serve our low-and-moderate income populations

### **Actions planned to foster and maintain affordable housing**

The County continues to support local groups, such as Habitat for Humanity, the Cayce Housing Authority, local developers and non-profit community housing organizations, as well as USDA-Rural, who work to provide affordable homes and seek viable financing options for low to moderate-low income homebuyers. County staff also works with local lenders to identify changes or patterns in lending practices, especially those that impact low-income, minorities, Section 3 residents, and other special populations. The County is hoping to enter into an agreement with the Cayce Housing Authority, as well as a local CHDO to increase the availability of housing for low-and-moderate income families, as well as seniors that are severely housing cost burdened.

### **Actions planned to reduce lead-based paint hazards**

The County currently contracts with a lead based paint specialist and will continue to support programs available through DHEC and will continue to be diligent in following our lead hazard control program to eliminate lead hazards in housing when a unit undergoes rehabilitation through the CDBG and HOME Programs.

### **Actions planned to reduce the number of poverty-level families**

The County of Lexington has targeted significant CDBG and HOME resources toward the public service, infrastructure, and housing programs designed to address issues and improve the lives of the county's low-and-moderate income populations of the County. The work of the County in conjunction with our numerous partners will act as a catalyst to invite additional public and private investment of capital and services, to increase the quantity and quality of affordable housing, and to help low to moderate income residents acquire needed information, knowledge, and skills to improve their employment opportunities.

### **Actions planned to develop institutional structure**

Lexington County's Grant Programs Division staff intends to host a service provider meeting that will engage these organizations in an effort to better serve our low-and-moderate income populations

### **Actions planned to enhance coordination between public and private housing and social service agencies**

The County is hoping to enter into an agreement with the Cayce Housing Authority, as well as a local CHDO to increase the availability of housing for low-and-moderate income families, as well as seniors that are severely housing cost burdened

### **Discussion:**

The County continues to support local groups, such as Habitat for Humanity, the Cayce Housing Authority, local developers and non-profit community housing organizations, as well as USDA-Rural, who work to provide affordable homes and seek viable financing options for low to moderate-low income homebuyers.

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction:

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out. All Program Income received is automatically reprogrammed to open activities.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	90.00%

**HOME Investment Partnership Program (HOME)  
Reference 24 CFR 91.220(l)(2)**

- 1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:**

Not applicable

- 2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:**

The County of Lexington has adopted the recapture provisions for HOME funds. This is a mechanism to recapture all or a portion of the direct HOME subsidy if the HOME recipient decides to sell the house within the affordability period at whatever price the market will bear. Recaptures funds may be used for any HOME-eligible activity. Recaptured funds are deposited in the local HOME account and is treated like Program Income, which includes recording in IDIS as Program Income

- 3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:**

The County of Lexington does not currently participate in resale activities with HOME Funds and Affordability requirements are imposed by deed restrictions, covenants running with the land. Home assisted rental units must meet the affordability for a specific period, which depends upon the type of activity or level of HOME funding. Specifically, the sale of the property during the affordability period triggers repayment of the direct HOME subsidy, which limits the amount to be recaptured to the net proceeds available from the sale minus loan repayment and closing costs.

- 4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:**

Not applicable to our program as we currently do not do multifamily housing.

**Emergency Solutions Grant (ESG)  
Reference 91.220(l)(4)**

**1. Include written standards for providing ESG assistance (may include as attachment).**

- Must be private nonprofit organizations.
- Agencies must submit 501(c)3 status documentation.
- Agency's project request for HESG funds must be endorsed by the local Continuum of Care to make sure the agency's project is in line with the CoC's priorities and standards.
- Agencies must provide a service that HUD prioritizes (Rapid Re-Housing, Street/Community outreach, Shelter Operations).
- Any agency receiving HESG funds is obligated to maintain both client services activity records and performance outcome measures utilizing HMIS. Domestic violence, AIDS and legal service providers must use a comparable database that collects client-level data over time and generates unduplicated aggregate reports.

**\*\*See attached "Monitoring Plan," which is applicable to all Urban Entitlement projects.**

**2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.**

United Way of the Midlands (UWM), serves as lead HUD agency for the Midlands Area Consortium for the Homeless (MACH) which is the HUD designated Homeless Continuum of Care (SC-502) for Lexington County. UWM also serves as the MACH lead agency for the Homeless Management Information System (HMIS). HMIS data is used to collect and report on ESG program results. UWM will support Lexington County's ESG implementation with reporting on agency HMIS data.

MACH collaborates with the other South Carolina CoCs and the South Carolina Office of Economic Opportunity (OEO) to establish state wide ESG performance measures, HMIS data collection standards, and standards for agency training and data quality. MACH considers agency capacity to administer funding and successful programs and collaboration with MACH key to community success in addressing homelessness and these factors are prioritized above individual funding categories within ESG.

- MACH provides ESG certification based on the following factors:
- Participation the Coordinated Entry System – including serving as a MACH Access Point (MAP)
- Participation in HMIS (except providers serving domestic violence survivors)
- Participation and membership with MACH

**3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).**

\*\*For PY 18 due to time constraints and after consulting with area CoC it was recommended to award direct funding to Sistercare and United Way of the Midlands as they are current and former recipients of ESG funding and have the knowledge and experience to administer the grant effectively and efficiently.

\*\*\*For PY 19 and future funding The County issues a Request for Applications (RFA) requesting that interested agencies apply for ESG eligible activities. Applications are then reviewed and scored by Community Development staff and then presented to the Advisory Committee for discussion and funding recommendations. After the required comment period and a Public Hearing, funding recommendations are presented to County Council for approval. For ESG funding, Lexington County must consult with the local Continuum of Care (CoC) in determining how to allocate these funds.

**4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.**

A homeless and/or formerly homeless individual participates in the United Way of the Midlands governing body. Lexington County consults UWM when prioritizing policy and funding decisions related to the ESG program.

**5. Describe performance standards for evaluating ESG.**

See attached "Monitoring Plan," which is applicable to all Urban Entitlement projects.









## Attachments

Citizen Participation Comments



I hereby certify that on the dates appearing below, I did publish the attached notice in the Lexington County Chronicle & The Dispatch-News, a newspaper of general circulation in the County of Lexington, State of South Carolina, in accordance with the laws of said county and state.

Publication Dates:

2-8-18

[Signature]
Lexington County Chronicle
& The Dispatch-News

Sworn to before me this 8th day of February, 2018

[Signature: MacLeod Bellune]
MacLeod Bellune
Notary Public for South Carolina

My Commission expires: June 4, 2018

Post Office Box 9
Lexington, SC 29071
(803) 359-7633

Affidavit of Publication

PUBLIC NOTICE, NOTICE OF AVAILABILITY, AND PUBLIC HEARING
COUNTY OF LEXINGTON
COMMUNITY DEVELOPMENT
BLOCK GRANT PROGRAM
(CDBG) HOME INVESTMENT
PARTNERSHIPS PROGRAM
(HOME) DRAFT OF 2018
ANNUAL ACTION PLAN
A Draft of the County of Lexington's 2018 Annual Action Plan, July 1, 2018 - June 30, 2019 is available for review. The Plan is required by the US Dept. of Housing & Urban Development (HUD) in order for the County to receive its allocation of CDBG and HOME funds. The Action Plan describes how the County intends to coordinate with CDBG and HOME during FY 2018-19.
Pub to review and comment on the Draft 2018 Annual Action Plan is encouraged. Written comments are accepted through March 8, 2018. Please address them to the Office of Community Development & Public Administration Building, 212 South Lake Drive, Rowland Lodgepark, SC 29072.
The plan is available at:
http://www.lexcountysc.gov/development/development/development/development/development/development.aspx
The public is also invited to attend a public hearing for the Draft 2018 Annual Action Plan on Thursday, March 8, 2018 from 10:00 a.m. - 11:30am in County Council Chambers located at the second floor of the Administration Building. If special accommodations are needed, please contact Katherine Cooper at (803) 796-6121 or kcooper@lexcountysc.gov. Copies of local law business days prior to the evening date.
The County of Lexington does not discriminate in any of its programs or services.
Date of Notice: February 8, 2018

LEXINGTON COUNTY  
**Chronicle**  
 The Dispatch-News  
 SINCE 1851

Post Office Box 9  
 Lexington, SC 29071  
 (803) 359-7633

**Affidavit of Publication**

I hereby certify that on the dates appearing below, I did publish the attached notice in the Lexington County Chronicle & The Dispatch-News, a newspaper of general circulation in the County of Lexington, State of South Carolina, in accordance with the laws of said county and state.

Publication Dates:

3-1-18  
 \_\_\_\_\_  
 \_\_\_\_\_

*Paul M. Cisneros*  
 Lexington County Chronicle  
 & The Dispatch-News

Sworn to before me this 1st day of  
 March, 2018

*Maurod Belluno*  
 Maurod Belluno  
 Notary Public for South Carolina

My Commission expires: June 4, 2018

**PUBLIC NOTICE, NOTICE OF AVAILABILITY, AND PUBLIC HEARING COUNTY OF LEXINGTON COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (CDBG) HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME) DRAFT OF 2018 ANNUAL ACTION PLAN**

A draft of the County of Lexington's 2018 Annual Action Plan (AAP) for 2018-June 30, 2018 is available for review. The Plan is required by the US Dept. of Housing & Urban Development (HUD) in order for the County to receive its allocation of CDBG and HOME funds. The Action Plan describes how the County intends to undertake with CDBG and HOME during FY 2018-19.

Public review and comments on the Draft 2018 Annual Action Plan is encouraged. Written comments will be accepted through March 5, 2018. Please address them to the Office of Community Development, 212 South Lake Drive, Administration Building, 212 South Lake Drive, B-30-40, Lexington, SC 29002.

The plan is available at: <http://www.lcx-sc.gov/development/development/development/Pages/PlanandProgram.aspx>

The public is also invited to attend a public hearing for the Draft 2018 Annual Action Plan on Friday, March 5, 2018 from 10:00 a.m. - 11:00 a.m. in County Council Chambers located on the second floor of the Administration Building. If special accommodations are needed, please contact Katherine Lewis at (803) 785-6121 or [katherine.lewis@lex-sc.gov](mailto:katherine.lewis@lex-sc.gov) at least five business days prior to the meeting date.

The County of Lexington does not discriminate in any of its programs or services.  
 Date of Notice: March 2, 2018

3-1



**Lexington County  
Community Development Block Grant Program  
HOME Investment Partnerships Program  
2018-2019 Annual Action Plan  
Public Hearing**

Friday, March 9<sup>th</sup>, 2018  
Administration Building  
Conference Room B 10:00 a.m. - 11:30 a.m.

Name	Organization	Contact Number
Myra Bozard	Citizen	
CHRIS Folsom	Citizen	
Michael R. Gordon	Citizen	
Sarah Inabnet	Citizen	803 667 7008



Post Office Box 9  
Lexington, SC 29071  
(803) 359-7633

# Affidavit of Publication

I hereby certify that on the dates appearing below, I did publish the attached notice in the Lexington County Chronicle & The Dispatch-News, a newspaper of general circulation in the County of Lexington, State of South Carolina, in accordance with the laws of said county and state.

Publication Dates:

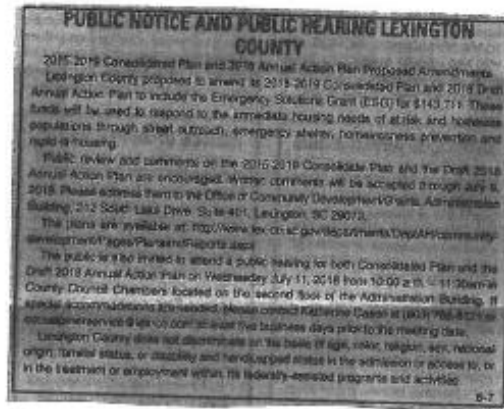
06/07/18

Katie Ritchie  
Lexington County Chronicle  
& The Dispatch-News

Sworn to before me this 7th day of  
June, 2018

Rose M. Cisneros  
Rose M. Cisneros  
Notary Public for South Carolina

My Commission Expires March 29, 2028





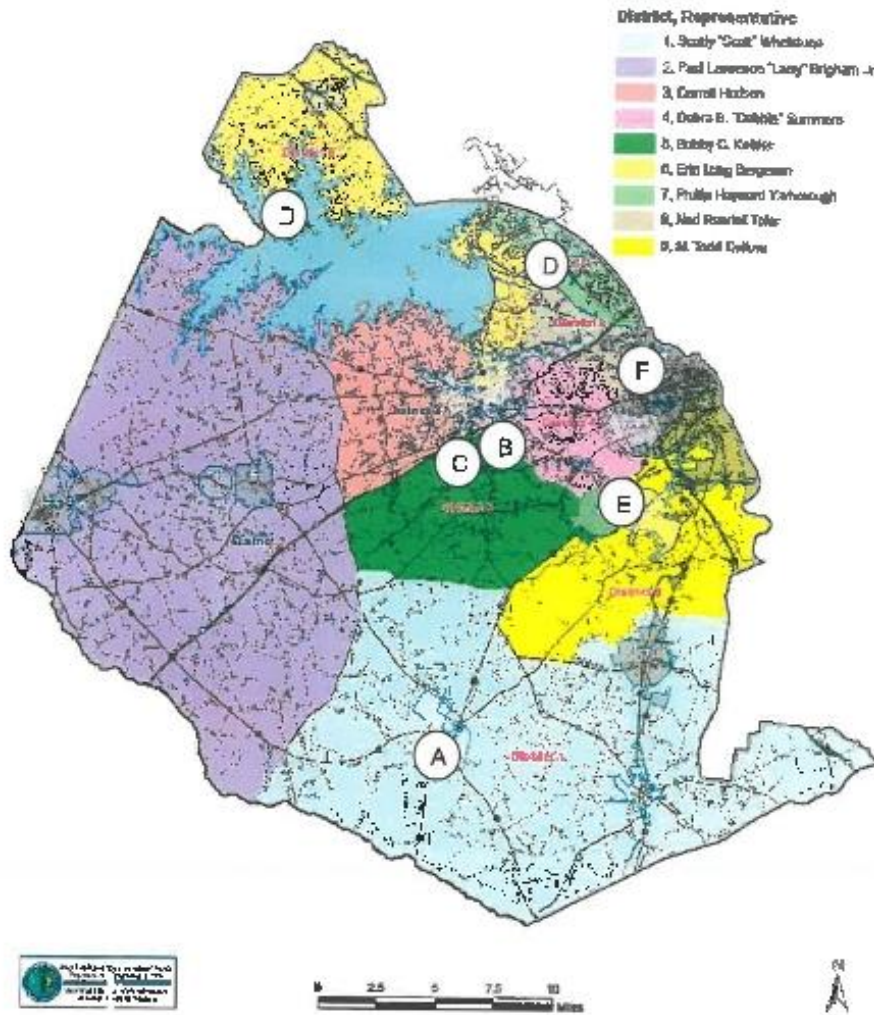
**Lexington County  
Con Plan /2018 Annual Action Plan  
Amendments  
Public Hearing**

Wednesday, July 11<sup>th</sup>, 2018  
Administration Building  
Council Chambers 10:00 a.m. - 11:30 a.m.

Name	Organization	Contact Number
MICHAEL R. GORDON	LCSD	803-309-1046
Myra Borzak	Citizen	
Hauer / Hauer	LCSD	609-0803

Grantee Unique Appendices

Lexington County Council Districts



- A. JMWWS Lydia Drive Sewer Extension
- B. Arc of the Midlands Job Training
- C. RBW After School Program
- D. ICRC Afternoon Adventures
- E. RCCEC ELEC Roof Replacement
- F. Babcock Center Fire System Upgrades

NOTE: Some funded projects not shown may be in place or address needs throughout the County.



# County of Lexington

## Grants Monitoring Plan

Community Development Block Grant Program  
County Administration Building  
212 South Lake Drive  
Lexington, South Carolina 29072  
Telephone (803) 785-8121 Fax (803) 785-8188  
[www.lex-co.com](http://www.lex-co.com)

## **I. Introduction**

Lexington County is committed to providing effective, efficient and equitable community services to the towns, cities and organizations within its jurisdiction. This Monitoring Plan is a guide for delivering these services while keeping Lexington County and its Urban Entitlement Subrecipients in compliance with Federal, State and County regulations. A strong monitoring system will allow staff to accurately determine the level of risk the County takes when granting monies, build capacity within the community, ensure timeliness of expenditures, and provide accurate evaluation for future planning.

Through development of an annual monitoring plan, staff can effectively plan for events in the coming year as well as take time to recognize what works, what can be done better, and what practices have become irrelevant. This kind of “big picture” thinking serves as an exercise in planning and regrouping.

## **II. Monitoring Plan Objectives and Strategy**

Lexington County Community Development has identified the following objectives and strategies for monitoring:

- Accurately Assessing Risk
- Identifying Technical Assistance Needs & Increasing Capacity
- Ensuring Timely Expenditures
- Keeping Lexington County in Compliance through training
- Establishing Tools and Systems for Compliance
- Identifying Innovative Tools & Techniques: Best Practices

## **III. Ongoing Monitoring**

### **A. Accurately Assessing Risk**

Lexington County Grants staff will determine the level of monitoring a subrecipient will receive using a risk model. The model uses the following criteria, prior performance, and capacity, type of project, investment level, and experience in managing federal funds, to determine the risk associated with a particular project. All applications / projects will have risk examined prior to funding with a monitoring schedule set during the first quarter of the program year to include a desk monitor during the program year.

NOTE: This model does not take the place of or supersede the judgment of the Grants staff. Regardless of the risk model, a subrecipient may be monitored strictly on the judgement of Community Development management.

### **B. Identifying Technical Assistance Needs & Increasing Capacity**

- Subrecipient reports serve as an ongoing oversight tool to ensure that projects are operating within the scope of their project. The review by staff provides a valuable point of contact as well as an effective tool for determining the type and depth of technical assistance a subrecipient may need. Reports are to be submitted monthly for staff review in tandem with reimbursement requests for the

timeliness of expenditure of funds and project activity as outlined in the subrecipient agreement.

- In addition to reviewing reports, staff will determine each project's specific compliance issues and provide technical support to ensure regulatory compliance with Davis Bacon, Section 3, Fair Housing and minority and women contractors for construction projects.
- Staff will also ensure that during the life of the project they observe the project for quality of work, i.e. housing rehabilitation and construction of residential housing and buildings, infrastructure projects such as sidewalks, drainage, and compliance with regulations, as needed.

#### **C. Ensuring Timely Expenditures**

- Staff will review financial reports in relation to the project timeline to determine if expenditures are on target. They will communicate any deviations with subrecipients on a monthly basis, ensuring that any extensions are warranted and submitted in a timely manner.

#### **D. Training of Subrecipients**

Training of subrecipients is another important strategy utilized to strengthen partnerships and build capacity. Training of subrecipients can be carried out by several methods:

- **Annual Application Workshop-** a mandatory meeting held prior to each program year. The workshop covers eligible / ineligible projects and the basic application process is explained along with expectations for management of successful project.
- **Subrecipient Orientation-** all subrecipients will meet to review all rules and regulations, reporting, procurement, financial and compliance expectations prior to the execution of a contract. This also provides a networking environment that Community Development staff wants to foster in our subrecipient community.
- **Monitoring-** monitoring activities whether during the monthly reports or on-site monitoring ensure that we reach 100% of our subrecipients. Our monitoring strategies include on-site field visits, open communication, desktop monitoring and assisting agencies in creating good recordkeeping systems. The requirements for standard recordkeeping, LMI eligibility determination, relevant demographics, financial management, quality assurance methods and community outreach are applied to all projects.

#### **E. Documenting Compliance: Establishing Tools and Systems for Compliance**

- Staff will analyze reporting documents annually to assess if any additional reporting requirements.
- Internal spreadsheets will be maintained electronically containing information sufficient to immediately assess the performance of each subrecipient.

#### **F. Identifying Innovative Tools & Techniques: Best Practices**

- Staff will work to identify and communicate programs that are innovative and can be replicated to provide more effective and efficient services using Urban Entitlement funds.

#### **IV. On-site Monitoring**

By far the most exhaustive technique in the Compliance Auditor's toolbox, the on-site monitoring is vital to the continued compliance of not only the subrecipient, but the compliance of Lexington County as well. Briefly stated, the on-site monitoring is designed to:

- Identify aspects of the project where the organization is performing well, and poorly while building capacity.
- Assess compliance with program requirements
- Determine whether record keeping is adequate
- Prepare and implement timely follow-up activities.

The results of the on-site monitoring are as important as the monitoring itself. Subrecipients are to receive the results of their visit in a timely manner (ideally within 45 business days after the monitoring visit) and provided with ample time and assistance to resolve issues before the close of the program year.

Lexington County's current monitoring objectives as determined by HUD regulations.

##### **A. Management Plan**

Ensure that subrecipients have an appropriate process for how the grant will be managed as well as other areas of business operation and who the responsible staff will be.

##### **B. Record Keeping Systems**

Ensure that subrecipients establish / maintain a record keeping and filing system to maintain documentations on program benefit and compliance with other applicable laws.

##### **C. Financial Management**

Ensure that the subrecipient establish appropriate accounts for grants funds awarded and maintain the necessary financial records on the receipt and disbursement of funds as well as supporting documentation.

##### **D. On-site Monitoring**

1. To determine if a subrecipient is carrying out its community development program, and its individual activities, as described in the application and the subrecipient agreement.
2. To determine if a subrecipient is carrying out its activities in a timely manner, in accordance with the schedule included in the agreement.
3. To determine if a subrecipient is charging costs to the project that are eligible under applicable laws and regulations, and are reasonable in light of the services or products delivered.

4. To determine if a subrecipient is conducting its activities with adequate control over program and financial performance, and in a way that minimizes opportunities for waste, mismanagement, fraud, and abuse.
5. To assess if the subrecipient has continuing capacity to carry out the approved project, as well as other grants for which they may apply.
6. To identify potential problem areas and to assist the subrecipient in complying with applicable laws and regulations.
7. To assist subrecipients in resolving compliance problems through discussion, negotiation, and the provision of technical assistance and training.
8. To provide adequate follow up measures to ensure that performance and compliance deficiencies are corrected by subrecipients, and not repeated.
9. To comply with the federal monitoring requirements of 24 CFR 570.501(b) and 24 CFR 85.40.
10. To determine if any conflicts of interest exist in the operation of the program, per 24 CFR 570.611.
11. To ensure that required records are maintained to demonstrate compliance with applicable regulations.

#### **V. Monitoring Staff and Schedule**

In order to ensure that subrecipients are trained and follow regulations, Lexington County grants staff are all trained to conduct / assist with the monitoring process. This includes conducting ongoing financial and programmatic monitoring of the subrecipients, assisting with both the Application Workshop and Subrecipient Orientation, as well as reimbursement requests and monthly reports. The CDBG Administrator will oversee all monitoring work and reporting. Final reports will be reviewed and issued by the Community Services Director.

## Grantee SF-424's and Certification(s)

### CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24, and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-T.T.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable); and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, LSG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

  
Signature/Authorized Official:

Date 5/31/18

**Specific CDBG Certifications**

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24.570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) \_\_\_\_\_ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 C.F.R. Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

  
Signature/Authorized Official  
County Administrator  
Title

5/31/18  
Date



**OPTIONAL CERTIFICATION  
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

  
Signature/Authorized Official      5/31/18  
Date  
County Administrator  
Title

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature/Authorized Official

5/31/18

Date

County Administrator

Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING:**

**A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### FSG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

**Discharge Policy** – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
\_\_\_\_\_  
Signature/Authorized Official

June 11, 2018  
\_\_\_\_\_  
Date

County Administrator  
\_\_\_\_\_  
Title

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text" value="B-12-CC-45-004"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="County of Lexington"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="57-6560279"/>	* c. Organizational DUNS: <input type="text" value="01-1-5497"/>	
d. Address:		
* Street1: <input type="text" value="212 South Lake Drive, Suite 401"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Lexington"/>	County/Parish: <input type="text"/>	
* State: <input type="text" value="SC, South Carolina"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA, UNITED STATES"/>	Zip / Postal Code: <input type="text" value="29072"/>	
a. Organizational Unit:		
Department Name: <input type="text"/>	Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="K."/>	* First Name: <input type="text" value="Charles"/>	
Middle Name: <input type="text" value="A."/>	Last Name: <input type="text" value="Carroll"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="Community Development Director"/>	
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="(803)785-8121"/>	Fax Number: <input type="text" value="(803)785-8136"/>	
* Email: <input type="text" value="rg4men@lex-co.com"/>		

Application for Federal Assistance 6F-424	
<p>* 9. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="n: County Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>Other, specify:</p> <input type="text"/>	
<p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Ecology &amp; Ocean Development"/>	
<p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text"/> <p>CFDA Title:</p> <input type="text"/>	
<p>* 12. Funding Opportunity Number:</p> <input type="text"/> <p>Title:</p> <input type="text"/>	
<p>13. Competition Identification Number:</p> <input type="text"/> <p>Title:</p> <input type="text"/>	
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <p style="text-align: right;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </p>	
<p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="Community Development Block Grant Program DEEC"/>	
<p>Attach supporting documents as specified in agency instructions</p> <p style="text-align: center;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </p>	

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**  
 \* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**  
 \* a. Start Date:  \* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="1,192,000.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="1,192,000.00"/>

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?  
 a. This application was made available to the State under the Executive Order 12372 Process for review on   
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.  
 c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)  
 Yes  No  
 If "Yes", provide explanation and attach:

21. "By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)  
 \*\* I AGREE

\*\* The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

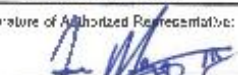
**Authorized Representative:**

Prefix:  \* First Name:   
 Middle Name:   
 \* Last Name:   
 Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:   \* Date Signed:



ASSURANCES CONSTRUCTION PROGRAMS

OMB Number: 4240-0008  
Expiration Date: 3/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

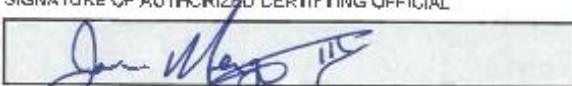
1. Has the legal authority to apply for Federal assistance, and the institutional managerial and financial capability (including funds sufficient) to pay the non-Federal share of project costs; to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permits and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of DPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 803, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act, of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-256), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)  
Prescribed by GSA FPMR (41 CFR) 101-11.6

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190); and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 178(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§466a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA), of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipients from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Administrator
APPLICANT ORGANIZATION	DATE SUBMITTED
County of Lexington	5/31/18

SF-424D (Rev. 7-87) Heck

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate category: <input type="text"/>  * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text" value="M-12-JC-45-C04"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="County of Lexington"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="57-6000374"/>	* c. Organizational DUNS: <input type="text" value="03-021-8365"/>	
<b>d. Address:</b>		
* Street: <input type="text" value="222 South Lake Drive, Suite 401"/>	Street: <input type="text"/>	
* City: <input type="text" value="Lexington"/>	City: <input type="text"/>	
* County/Parish: <input type="text"/>	County/Parish: <input type="text"/>	
* State: <input type="text" value="SC: South Carolina"/>	State: <input type="text"/>	
* Province: <input type="text"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	Country: <input type="text"/>	
* Zip / Postal Code: <input type="text" value="29072"/>	Zip / Postal Code: <input type="text"/>	
<b>e. Organizational Unit:</b>		
Department Name: <input type="text"/>	Division Name: <input type="text"/>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: <input type="text" value="M."/>	* First Name: <input type="text" value="Charles"/>	
Middle Name: <input type="text" value="A."/>	Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Garson"/>	* Last Name: <input type="text"/>	
Suffix: <input type="text"/>	Suffix: <input type="text"/>	
Title: <input type="text" value="Community Development Director"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="(803)782-8121"/>	Fax Number: <input type="text" value="(803)782-8188"/>	
* Email: <input type="text" value="lgarson@lex-co.gov"/>		

Application for Federal Assistance SF-424			
* 9. Type of Applicant 1: Select Applicant Type: E: County Government			
Type of Applicant 2: Select Applicant Type:			
Type of Applicant 3: Select Applicant Type:			
* Other (specify):			
* 10. Name of Federal Agency: U.S. Department of Housing & Urban Development			
11. Catalog of Federal Domestic Assistance Number:			
CFDA Title:			
* 12. Funding Opportunity Number:			
* Title:			
13. Competition Identification Number:			
Title:			
14. Areas Affected by Project (Cities, Counties, States, etc.): 2018 Annual Notice to Assist			
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>			
* 15. Descriptive Title of Applicant's Project: 2018 Investment Partnership Program			
Attach supporting documents as specified in agency instructions.			
<input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>			

**Application for Federal Assistance SF-424**

16. Congressional Districts Of:  
 \* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:  
 \* a. Start Date:  \* b. End Date:

18. Estimated Funding (\$):

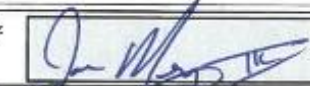
* a. Federal	<input type="text" value="711,456.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="711,456.00"/>

19. Is Application Subject to Review By State Under Executive Order 12372 Process?  
 a. This application was made available to the State under the Executive Order 12372 Process for review on   
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.  
 c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment)  
 Yes  No  
 If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)  
 I AGREE  
" The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

First Name:  Last Name:   
 Title:   
 Telephone Number:  Fax Number:   
 Email:   
 Signature of Authorized Representative:  Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 11/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-615), as amended relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other non-discrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other non-discrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a-276a-7), the Copeland Act (40 U.S.C. § 276c and 46 U.S.C. § 674), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333) regarding labor standards for federally assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11690; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) consistency of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§ 401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-573); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11593 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 430a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audit of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Administrator
APPLICANT ORGANIZATION	DATE SUBMITTED
County of Lexington	5/31/18

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If New, select appropriate title(s): _____ * Other (Specify): _____
* 3. Date Received: _____	4. Applicant Identifier: E-12-02-45-004	
5a. Federal Entry Identifier: _____	5b. Federal Award Identifier: _____	
State Use Only:		
6. Date Received by State: _____	7. State Application Identifier: _____	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: County of Lexington		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 57-6003372	* c. Organizational DUNS: 03-C11-5365	
<b>9. Address:</b>		
* Street1: 212 South Lee Drive, Suite 401	_____	
* Street2: _____	_____	
* City: Lexington	_____	
* County/Parish: _____	_____	
* State: _____	SC: South Carolina	
* Province: _____	_____	
* Country: _____	USA: UNITED STATES	
* Zip/Postal Code: 28202	_____	
<b>10. Organizational Unit:</b>		
* Department Name: _____	* Division Name: _____	
<b>11. Name and contact information of person to be contacted on matters involving this application:</b>		
* Prefix: Mr.	* First Name: Charles	
* Middle Name: A.	_____	
* Last Name: Larson	_____	
* Suffix: _____	_____	
* Title: Community Development Director		
* Organizational Affiliation: _____		
* Telephone Number: (804) 705-8121	* Fax Number: (804) 705-8188	
* Email: cwl@rentlex-co.com		



Application for Federal Assistance SF-424			
* 8. Type of Applicant 1: Select Applicant Type:			
6: County Government			
Type of Applicant 2: Select Applicant Type:			
Type of Applicant 3: Select Applicant Type:			
* Other (specify):			
* 10. Name of Federal Agency:			
U.S. Department of Housing & Urban Development			
11. Catalog of Federal Domestic Assistance Number:			
CRDA Title:			
* 12. Funding Opportunity Number:			
* 13a:			
13. Competition Identification Number:			
Title:			
14. Areas Affected by Project (Cities, Counties, States, etc.):			
2018 Annual Action Plan.pdf	Add Attachment	Delete Attachment	View Attachment
* 15. Descriptive Title of Applicant's Project:			
Emergency Solutions Grant BBO			
Attach supporting documents as specified in agency instructions			
Add Attachments	Delete Attachments	View Attachments	

**Application for Federal Assistance SF-424**

16. Congressional Districts Of:

\* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed:

2018 Annual Action Plan.pdf

17. Proposed Project:

\* a. Start Date:  \* b. End Date:

18. Estimated Funding (\$):

* a. Federal	143,711.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	143,711.00

19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debts? (If "Yes," provide explanation in attachment.)

Yes  No

If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)

I AGREE

\*\* The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specifications/contracting.

Authorized Representative:

Prefix:  \* First Name:

Middle Name:


\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008  
Expiration Date: 01/31/2018

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance; and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management, and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the crafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency in State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of persons or organizations, conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residential structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 604 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-610), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§522 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statutes) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)  
Prescribed by GSA Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(e) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 108 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11583 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§460a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Administrator
APPLICANT ORGANIZATION	DATE SUBMITTED
County of Lexington	5/31/18

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